# Locus Performance Ingredients Performance-Driven, Sustainable Solutions

The future of sustainable, high-performing ingredients for product formulations

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# Locus Performance Ingredients An operating company of Locus Fermentation Solutions



Locus Fermentation Solutions, an Ohio-based, globally recognized biosurfactant company and award-winning green tech organization accelerating sustainable solutions across multiple biotech platforms and industries.

**Locus Fermentation Solutions** 











Locus Wellness Solutions

**Locus Performance Ingredients** 

# **Dow Signs Exclusive Agreement with Locus Pl**

This Exclusive Agreement Expands Dow's Biosurfactant Offerings in the Global Home Care and Personal Care Markets

"This exclusive partnership with Dow enables accelerated global adoption of high-performance ingredients that address numerous industry challenges while advancing shared sustainability goals. The resulting products formulated with biosurfactants can offer greater effectiveness while being gentler on both people and the planet."



Tim Staub, CEO of Locus Performance Ingredients



Farooq Alam, Global Strategic Marketing Manager for Dow Home Care "This partnership with Locus PI, an unrivaled expert in biosurfactants and fermentation, complements our innovation, strength and strong position in home care and personal care. The addition of Locus PI's biodegradable and sustainable ingredients allows us to further deliver on our promise to accelerate the transition towards a low-carbon, circular economy."  Innovation company developing high performance, sustainable products for formulation chemistry markets

### **Locus Performance Ingredients**

Patented bioinformatics and fermentation technology to deliver microbial-based solutions that provide sustainability and performance to improve product formulations in Personal Care, Home Care and Industrial applications



# Technology overview:

- ✓ 39 patent applications 1 issued 19 trade secrets
- Core technology spans bioinformatics, process technology, microbes, applications, and derivative molecules
- Focused on unique, bio-based, highperformance ingredients with sustainable competitive advantage
- Collaborating with major global brands, indies, & partners

**Biosurfactants - Sophorolipids** 

Concept to commercial – in 12 months

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# Biosurfactants: Performance, Safety & Sustainability

#### **Consumer Demand for Safer, Greener Products<sup>1</sup>**

- 79% of consumers change their purchase preferences based on sustainability, safety or other environmental factors
- 66% purchase products based on 'environmental friendliness'
- 42% of consumers actively search labels for safer or more sustainable ingredients

#### **Societal Forces for Change**

- Eliminate toxic Prop 65 residual chemicals
- Simplify labeling (natural ingredients)
- Meet the needs of major channels (Walmart, Target, etc.)
- Dramatically improve carbon footprint
- Non-GMO, biodegradable, 100% natural, certifications
- Palm-free impacts deforestation; human rights/labor issues

#### Performance – Do Well and Do Good

- Outperforms traditional legacy chemicals
- Replaces a broad range of functionalized ingredients
- Cost-in-use makes price and profit strong for makers and users
- Monetizing the carbon footprint



<sup>1</sup> CapGemini Research Institute: Consumer Products and Retail – How sustainability is fundamentally changing consumer preferences – July 2020

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# **Biosurfactants: Providing Solutions** to Six Critical Industry Pain Points



Forces

Biosurfactants are 100% free of 1,4-dioxane and other harmful trace chemicals - banned in NY State and restricted in CA, OR



- Biosurfactants are 100% free of palm oil and provide raw material diversification opportunities
- Biosurfactants are mild and can reduce the damage caused by petrochemical-derived and sulfate surfactants



(3)

Biosurfactants rapidly biodegrade— categorized as Readily Biodegradable: 61% in 8 days



Our biosurfactants provide a near-ZERO carbon footprint



Our novel fermentation platform allows rapid scale-up at low capital cost—in 12 months or less—anywhere in the world



# **Performance-Driven, Sustainable Solutions**

Locus PI has launched a line of non-GMO sophorolipid ingredients that outperform and replace problematic chemical surfactants in a variety of CPG product formulas

- Multifunctional
  - Can replace two or more legacy surfactants
  - Low use levels yielding low cost-inuse
- Near-Zero Carbon Footprint
- Sustainable
  - USDA certified 100% bio-based
  - non-GMO
  - Readily biodegrade
  - Non-toxic
  - Non-hazardous for transport & packaging



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# Biosurfactants – Sophorolipids INCI Name: Glycolipids CAS # 1573124-58-9





Linear Molecule





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# Versatile HLB properties for any Application

#### ferma<sup>®</sup> SH

- Oil/water emulsifier
- Detergency and foam

#### ferma<sup>®</sup> SL

- Water/oil emulsifier
- No/low foam **amphi™ M**
- TSCA-approved
- H, I & I applications

High Foaming agentsSolubilizing agents

Detergents

Hydrophilic

Water

dispersible

blends

Hydrophobic

- Emulsion agents (oil/water)
- Wetting and spreading agents

- Emulsion agents (water/oil)
- Grease cutting agents
- Antifoaming



**Lactonic Molecule** 

**Linear Molecule** 



# Performance Starts with Quality

# 60% Active Sophorolipids

- Highest in the industry
- Less than 5% residual fatty acids

# Color

- Allows for clear formulation up to 6-8%
  - pH will clear higher loading

# Clarity

- No volatile solvents used
- Filtered

### Odor

 Low odor – has been used in face washes

## Product Integrity

- Pasteurized
- Natural stabilizer
- Hot filled

# Formulary and Claims Data

Dr. Lee Speight



# **Formulary Goals and Targets**

- Set performance criteria based on market leading consumer brand
- Holistically formulate with sophorolipids
- Fill in performance gaps with synergistic cosurfactants
- Validate achievement of performance targets
- Designed to be directionally useful
- Allow for brands to optimize





# All Purpose Cleaner

%	Ingredient			
94.32	Water			
3.55	Amphi™ M			
0.66	Sodium Citrate			
0.86	Preservative			
0.10	Sodium Hydroxide			
0.23	Urea			
0.23	Fragrance			
0.03	Iminodisuccinate			
100.00	Total			

Procedure		
Step	Actions	
1	Apply stain	
2	1x Spray Cleaner	
3	Wipe 2x	

Sauce Stain



# All Purpose Cleaner

%	Ingredient
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3.55	Amphi™ M
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0.23	Urea
0.23	Fragrance
0.03	Iminodisuccinate
100.00	Total

Procedure		
Step	Actions	
1	Apply stain	
2	1x Spray Cleaner	
3	Wipe 2x	

Coffee Stain

1x Spray 2x wipe

### Simulated Blood Stain



#### Benchmark Formulation



### After Treatment





	Procedure	
Step	Actions	•
1	Apply stain	
2	Cover stain with carpet cleaner product	
3	Scrub with brush 10x	
4	Run over with carpet cleaner (downward) 2x	

# **Carpet Shampoo**

%	Ingredient
77.19	Water
7.28	Amphi™ M
0.56	Sodium Citrate
0.19	Acrylic Polymer
5.56	Sodium Caprylyl Sulfonate
0.42	Disodium Phosphate
0.56	Fragrance
0.09 Preservative	
0.37	Sodium Hydroxide
0.83	Zinador™ 35
0.46	Chelator
4.64	Isopropyl Alcohol
1.85	Sodium Lauroyl Sarcosinate
100.00	Total







Benchmark Formulation

Procedure		
Step	Actions	
1	Apply stain	
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100.00	Total

#### After Treatment

**Tomato Sauce** 

Stain

#### Benchmark Formulation



### Waterproof Mascara Stain

### After Treatment





	Procedure	
Step	Actions	
1	Apply stain	
2	Cover stain with carpet cleaner product	
3	Scrub with brush 10x	
4	Run over with carpet cleaner (downward) 2x	

## **Carpet Shampoo**

%	Ingredient	
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0.83	Zinador™ 35	
0.46	Chelator	
4.64	Isopropyl Alcohol	
1.85	Sodium Lauroyl Sarcosinate	
100.00	Total	

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#### Benchmark Formulation



# After Treatment

Juice

Stain



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4.64	Isopropyl Alcohol
1.85	Sodium Lauroyl Sarcosinate
100.00	Total



#### Coffee Stain

#### After Treatment





	Procedure	
Step	Actions	•
1	Apply stain	
2	Cover stain with carpet cleaner product	
3	Scrub with brush 10x	
4	Run over with carpet cleaner (downward) 2x	

# **Carpet Shampoo**

%	Ingredient
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0.46	Chelator
4.64	Isopropyl Alcohol
1.85	Sodium Lauroyl Sarcosinate
100.00	Total

### **More Home Care Cleaning Formulations to Come**



**Glass Cleaner** 

Car Wash

Bathroom Tub & Tile

**Stainless Steel** 

Degreaser



# **Sulfate-Free Hand Soaps**

#### Foaming Hand Cleanser: 10x Concentrate

%	Ingredient
38.20	Water
16.94	Ferma <sup>®</sup> SL
11.25	Ferma <sup>®</sup> SH
0.02	EDTA
0.12	Citric Acid
17.60	Glycerin
13.67	Sodium Methyl Cocoyl Taura
1.20	Fragrance
1.00	Lincoserve <sup>®</sup> SSB
100.00	Total

#### Foaming Hand Cleanser: In-Use Foaming Dispenser

%	Ingredient
93.82	Water
1.694	Ferma <sup>®</sup> SL
1.125	Ferma <sup>®</sup> SH
0.002	EDTA
0.012	Citric Acid
1.76	Glycerin
1.367	Sodium Methyl Cocoyl Taurat
0.12	Fragrance
0.10	Lincoserve <sup>®</sup> SSB
00.00	Total

#### Foaming Hand Cleanser: Ready-to-Use Gel

%	Ingredient
89.93	Water
2.71	Ferma <sup>®</sup> SL
1.80	Ferma <sup>®</sup> SH
0.003	EDTA
0.002	Citric Acid
2.816	Glycerin
2.187	Sodium Methyl Cocoyl Taurate
0.192	Fragrance
0.16	Lincoserve <sup>®</sup> SSB
0.20	Xanthan Gum
L00.00	Total



# Sulfate-, Salt-, & Citric Acid-Free Body Wash

Moisturizing Body Wash				
%	Ingredient			
69.23	Water			
1.35	Acrylates/C10-30 Alkyl Acrylate Crosspolymer			
0.25	Hydroxyethyl Cellulose			
7.40	Ferma <sup>®</sup> SH			
6.25	Ferma <sup>®</sup> SL			
5.12	Sodium Lauroyl Sarcosinate			
6.90	Petrolatum			
0.15	Glyceryl Oleate			
0.50	Mineral Oil			
1.00	Soybean Oil			
1.00	Shea Butter			
0.05	Preservative			
0.80	Fragrance			
100.00	Total			

# **Micellar Water**

#### Micellar Water Cleanser & Makeup Remover

%	Ingredient
	Phase A
65.00	Water
9.00	Brontide <sup>®</sup> Natural Butylene Glycol
4.00	Ferma <sup>®</sup> SL
7.00	Ferma <sup>®</sup> SH
1.00	Lincoserve <sup>®</sup> SSB
	Phase B
6.00	LexFeel <sup>®</sup> N200 MB
8.00	LexFeel <sup>®</sup> WOW
100.00	Total



+

Ferma<sup>®</sup> S Biosurfactants



By Locus Performance Ingredients

Brontide<sup>®</sup> Natural Butylene Glycol



By Genomatica



Clear Shampoo with Ferma<sup>®</sup> SL

%

84.27

0.90

0.03

4.00

9.50

0.15

1.15

100.00

Ingredient

Water

Natrosol<sup>™</sup> 250 HHR

Sodium Hydroxide Lye

Pureact WS Conc.

Ferma<sup>®</sup> SL

Dermofeel<sup>®</sup> PA-12

Lincoserve<sup>®</sup> SSB

Total

	Clear Shampoo with Ferma <sup>®</sup> SH*		• • • •	2-in-1	L Shampoo/Conditioner
9	6	Ingredient	•	%	Ingredient
45	.00	Water		72.95	Water
30	.00 C	olonial AOS-40		1.23	Natrosol <sup>™</sup> 250 HHR
15	.00	Ferma <sup>®</sup> SH		0.45	DL-Panthenol
4.	00 P	romidium™ CO	•	1.44	Honey Extract in GL
1.	00 S	ensiva® PA 30		1.82	Dehyquart <sup>®</sup> A-CA
5.	00	Versathix™		1.30	Aloe Juice 1X Decolorized
100	0.00	Total		9.27	Ferma <sup>®</sup> SH
				4.73	Ferma <sup>®</sup> SL
				0.73	Glycol Distearate
				3.18	Glycol Stearate
				1.22	Ceraphyl <sup>™</sup> 70
				0.93	Sensiva <sup>®</sup> PA 40
				0.75	Fragrance
				100.00	Total

### **More Personal Care Formulations to Come**



# **Facial Cleanser**

# Facial Cleanser with Salicylic Acid

# **Moisturizing Body Lotion**





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